

STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION
20 Trinity Street – Suite 101 • Hartford, Connecticut 06106 - 1628

TO: State Contractors

RE: State Contractor Ban on Making or Soliciting Campaign Contributions

DATE: July 20, 2006

The Connecticut General Assembly enacted major campaign finance reform legislation during the 2005 and 2006 legislative sessions. Effective on and after December 31, 2006, the Act prohibits “principals” of state contractors and prospective state contractors, including those contractors who hold a valid Prequalification Certificate issued by the Commissioner of Administrative Services, from making or soliciting campaign contributions in connection with legislative or statewide election campaigns. The prohibition applies to state contractors and prospective state contractors with the State of Connecticut meeting certain financial criteria set for in the attached June 5, 2006 memorandum.

Using data from the recently concluded State Fiscal Year 2006 (i.e. – 7/1/2005 – 6/30/2006), the State Elections Enforcement Commission (SEEC) has determined that you are a contractor with the State of Connecticut meeting the criteria set forth in the ban. Therefore, you are required to disclose the names of individuals serving as “principals” within your organization as defined in the legislation.

Attached please find Jeffrey Garfield’s June 5, 2006 memorandum, which addresses a state contractor’s duty to provide to the state a list of the names of its principals as well as a full explanation of the contribution and solicitation ban. Instructions and a Form for collecting this data, in XML or XLS (Excel) format, are also attached to facilitate your providing us with the names of principals involved in your state contract. ***It is important for the SEEC to be able to collect this data in electronic format.*** If you are having difficulty, please call one of the SEEC’s contacts, listed below, particularly the Data Processing and Applications managers. Additionally, all of the information provided in this memorandum is available at www.ct.gov/seec, which is the SEEC’s homepage on the State Portal.

Please return the completed State Contractor Principals Collection Form (Form SEEC – SC 1(Rev. 7/2006)), to seec.sc.info@ct.gov by **August 10, 2006**. Please note that the SEEC is not requesting contractors’ FEIN numbers (Employer Identification Numbers for Internal Revenue Services purposes) at this time, but may do so in the future as soon as the SEEC develops a secure method for transferring and storing such confidential data.

If you have any questions, please contact:

Technical Questions

Brian Clonan, Data Processing Manager, brian.clonan@ct.gov, 860-566-1776 X323

Database and Application Questions:

Mann Hasen, Applications Manager, mann.hasen@ct.gov, 860-566-1776 X321

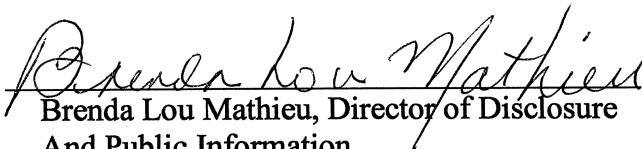
Business Questions:

Hy, Ly, Accounts Examiner, hy.ly@ct.gov, 860-566-1776 X320

Brenda Lou Mathieu, Director of Disclosure and Public Information,
brendalou.mathieu@ct.gov, 860-566-1776 X 307.

Sincerely,

Jeffrey B. Garfield
Executive Director and General Counsel
State Elections Enforcement Commission

By: 
Brenda Lou Mathieu, Director of Disclosure
And Public Information